

# Approved 2022 Internal Audit Plan (9/1/21 – 8/31/22)

	Project Type	Potential Audit Focus Description	Total Hours
1	Continuing Education Audit	Review of Continuing Education controls and processes, including registration, contracting practices, and tuition/fee calculations.	800
2	Colleges – Nursing Program Review Audit	Determine if the nursing program is compliant with Alamo Colleges' policies and procedures, and laws and regulations.	500
3	IT – Jaggaer System Audit	Assess the effectiveness of the design of controls, configuration, security, and interface between Jaggaer (i.e., ALAMOMart) and the Banner System.	500
4	Fiscal Services – Budgeting Audit	Evaluate the planning process for completing District and Colleges annual budgets and evaluate the controls over spending authority and budget controls.	700
5	District-Wide Advising Audit	Evaluate the Alamo Colleges academic and career advising practices to ensure they are providing consistent levels of support for students.	900
6	IT – Remote Device Management Audit	Evaluate controls surrounding device management in a remote work environment to ensure they are scanned for vulnerabilities, patched, and secure.	500



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7	Investigations / Special Requests	Perform and assist on EthicsPoint and other investigations and special requests.	200
8	Internal Quality Assessment Review	Perform the annual self-assessment required by the Institute of Internal Auditors' International Standards for the Professional Practice of Internal Auditing.	120
9	Follow-up Reviews	Follow-up on completion of audit observations action plans.	80
10	Carryforward	<p>For completion of audits still outstanding from the FY 2021 Audit Plan</p> <ul style="list-style-type: none"> <li>- Higher Education Emergency Relief Fund (HEERF) Audit - Assess the control structure over the process to ensure that Alamo Colleges is in compliance with program regulations that apply to the specific funding streams.</li> <li>- Title IX Compliance Audit - To assess the effectiveness of the controls and processes to ensure implementation of 2020 amendments to the Title IX regulations.</li> </ul>	400
	Total		4,700



# Approved Alternate and Future Projects

Project Type	Potential Audit Focus Description
Colleges – IT and Technical Services	Determine the effectiveness of IT General Controls at the Colleges. The potential areas include Access Management, Security Management, Network Management, Disaster Recovery, Change Management, and Problem Management.
HR – Banner Employee Position Control Audit	Evaluate the position control process and ensure controls are in place and operating as management intends.
Alamo Promise Audit	Audit of student eligibility, management of funds, and compliance with provisions of the Alamo Promise program.
Ethics and Compliance Program Audit	Evaluate the design, implementation, and effectiveness of Alamo Colleges’ ethics-related objectives, programs, and activities. (Mandatory Audit in FY 2023)
IT - Information Security, Access, & Compliance	Determine whether Alamo Colleges has implemented information system security standards and related controls in compliance with the Texas Administration Code (TAC) 202 requirements from the Texas Department of Information Resources.

